

QUASITRIANGULAR + SMALL COMPACT = STRONGLY IRREDUCIBLE

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ABSTRACT. Let T be a bounded linear operator acting on a separable infinite dimensional Hilbert space. Let ϵ be a positive number. In this article, we prove that the perturbation of T by a compact operator K with $\|K\| < \epsilon$ can be strongly irreducible if T is a quasitriangular operator with the spectrum $\sigma(T)$ connected. The Main Theorem of this article nearly answers the question below posed by D. A. Herrero.

Suppose that T is a bounded linear operator acting on a separable infinite dimensional Hilbert space with $\sigma(T)$ connected. Let $\epsilon > 0$ be given. Is there a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible?

1. INTRODUCTION

Let $\mathcal{H}_1, \mathcal{H}_2, \mathcal{H}$ be separable Hilbert spaces. Denote by $\mathcal{B}(\mathcal{H}_1, \mathcal{H}_2)$ the set of all bounded linear operators mapping \mathcal{H}_1 into \mathcal{H}_2 . Denote by $\mathcal{K}(\mathcal{H}_1, \mathcal{H}_2)$ the subset of $\mathcal{B}(\mathcal{H}_1, \mathcal{H}_2)$ of all compact operators. We simply write $\mathcal{B}(H)$ and $\mathcal{K}(H)$ instead of $\mathcal{B}(H, H)$ and $\mathcal{K}(H, H)$ respectively. For $T \in \mathcal{B}(\mathcal{H}_1, \mathcal{H}_2)$, denote the kernel of T and the range of T by $\text{Ker}T$ and $\text{Ran}T$ respectively. If \mathcal{H}_0 is a subspace of \mathcal{H} (closed), we shall write $\mathcal{H}_0 \leq H$. Let $T \in \mathcal{B}(H)$; we shall denote by $\sigma(T)$, $\sigma_p(T)$, $\sigma_l(T)$, $\sigma_r(T)$, $\sigma_e(T)$, $\sigma_{le}(T)$, $\sigma_{lre}(T)$ and $\sigma_w(T)$ the spectrum, the point spectrum, the left spectrum, the right spectrum, the essential spectrum, the left essential spectrum, the Wolf spectrum and the Weyl spectrum of T respectively. Denote by $\sigma_0(T)$ the set of all isolated points of $\sigma(T) \setminus \sigma_e(T)$. For $\lambda \in \rho_{S-F}(T)$ ($\stackrel{\text{def}}{=} \mathcal{C} \setminus \sigma_{lre}(T)$), $\text{ind}(T - \lambda) = \dim \text{Ker}(T - \lambda) - \dim \text{Ker}(T - \lambda)^*$ and $\min \text{ind}(T - \lambda) = \min\{\dim \text{Ker}(T - \lambda), \dim \text{Ker}(T - \lambda)^*\}$. For $-\infty \leq n \leq +\infty$, $\rho_{S-F}^{(n)}(T) = \{\lambda \in \rho_{S-F}(T) : \text{ind}(T - \lambda) = n\}$. T is said to be *quasitriangular* if there is a sequence $\{P_n\}_{n \geq 1}$ of finite rank projections increasing to the unit operator I with respect to the strong operator topology such that $\lim_{n \rightarrow \infty} \|(I - P_n)TP_n\| = 0$. It is well-known that T is quasitriangular if and only if $\text{ind}(T - \lambda) \geq 0$ for all $\lambda \in \rho_{S-F}(T)$. T is said to be *strongly irreducible* if there are no nontrivial idempotents commuting with T . A *Cowen-Douglas operator* is an operator T satisfying the following conditions:

- (i) There is a nonempty connected open subset Ω of $\rho_{S-F}^{(n)}(T)$ for a natural number n .
- (ii) $T - \lambda$ is surjective for each $\lambda \in \Omega$.
- (iii) $\bigvee \{\text{Ker}(T - \lambda) : \lambda \in \Omega\}$ is equal to the acting space of T .

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If the conditions above are satisfied, we shall write $T \in \mathcal{B}_n(\Omega)$. If $T \in \mathcal{B}_n(\Omega)$, then $\bigvee \{\text{Ker}(T - \lambda)^k : k \geq 1\}$ is equal to the acting space of T for each λ in Ω .

Let σ be a compact subset of the complex field \mathcal{C} . A *clopen* σ_0 of σ is a subset of σ such that there are two disjoint open subsets Ω_1, Ω_2 of \mathcal{C} such that $\Omega_1 \supset \sigma_0$ and $\Omega_2 \supset (\sigma \setminus \sigma_0)$. If σ is a clopen of $\sigma(T)$, then there is an analytic Cauchy domain Ω such that $\sigma(T) \cap \Omega = \sigma$ and such that $\sigma(T) \cap \partial\Omega = \emptyset$, where $\partial\Omega$ is the boundary of Ω . Thus $E(\sigma, T) = \frac{1}{2\pi i} \int_{\partial\Omega} (\lambda - T)^{-1} d\lambda$ is an idempotent commuting with T . We call $E(\sigma, T)$ the *Riesz idempotent* of T corresponding to σ . Write $\mathcal{H}(\sigma, T) = \text{Ran} E(\sigma, T)$. It follows from the classical Riesz decomposition theorem that T is not strongly irreducible if $\sigma(T)$ is not connected. But the converse is not true. However, D. A. Herrero and C. L. Jiang obtained the approximate inverse of the Riesz decomposition theorem (see [3] or [6]):

Theorem HJ. *The closure of the class of all strongly irreducible operators is the class of all those operators which have connected spectrum.*

And then, D.A. Herrero posed the following question.

Question H. *Let T be an operator with $\sigma(T)$ connected. Given $\epsilon > 0$, can we find a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible?*

C.L. Jiang, S.H. Sun and Z.Y. Wang (see [10]) proved that if T is essentially normal and if $\sigma(T)$ is connected, then one can find a compact K such that $T + K$ is strongly irreducible. (But $\|K\|$ may be bigger than ϵ .) Y.Q. Ji, C.L. Jiang and Z.Y. Wang (see [8], [9]) proved that if T is an essentially normal quasitriangular operator with $\sigma(T)$ and $\sigma_\omega(T)$ connected, then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible. They (see [7]) also proved that if T is a Cowen-Douglas operator having unique (SI)-decomposition, then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible. C.L. Jiang, S. Power, and Z.Y. Wang (see [11]) proved that if T is a biquasitriangular operator with $\sigma(T)$ connected, then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.

The main result of this article is the theorem below.

Main Theorem. *Let $T \in \mathcal{B}(\mathcal{H})$ be a quasitriangular operator with $\sigma(T)$ connected and let $\epsilon > 0$ be given. Then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.*

2. PREPARATION

In order to prove the Main Theorem, we need to prepare some lemmas.

Lemma 2.1. *Let $T \in \mathcal{B}(\mathcal{H})$ be an operator with $\text{Ran} T$ nonclosed. Then there is an infinite dimensional subspace \mathcal{H}_0 (closed) of \mathcal{H} such that $\mathcal{H}_0 \cap \text{Ran} T = \{0\}$.*

Proof. We know that $\text{Ran} T = \text{Ran}(TT^*)^{1/2}$. Without loss of generality, assume that T is positive and that $\text{Ran} T$ is dense in \mathcal{H} . Let $E(*)$ be the spectral measure of T . It is easy to see that $E((0, t]) \neq 0$ for all $t > 0$ and that $E((0, \|T\|]) = I$. Choose a sequence $\{t_k\}_{k \geq 0}$ of positive numbers decreasing to zero such that $t_0 = \|T\|$ and such that $E((t_k, t_{k-1}]) \neq 0$ for all $k \geq 1$. Write $E_k = E((t_k, t_{k-1}])$. Let $\mathcal{H}_n = \bigvee \{\text{Ran} E_{(2k-1)2^{n-1}} : k \geq 1\}$. Then $\{\mathcal{H}_n\}_{n \geq 1}$ is a pairwise orthogonal family of subspaces and $\mathcal{H} = \bigoplus_{n \geq 1} \mathcal{H}_n$. Let P_n be the projection onto \mathcal{H}_n , i.e.

$P_n = \sum_{k \geq 1} E_{(2k-1)2^{n-1}}$. It follows that $P_n T = T P_n$. It is not difficult to show that $0 \in \sigma(P_n T|_{\mathcal{H}_n})$. Hence we can take $x_n \in \mathcal{H}_n \setminus \text{Ran}(P_n T|_{\mathcal{H}_n})$ for each $n \geq 1$. Let $\mathcal{H}_0 = \bigvee \{x_n, n \geq 1\}$. Then $\dim \mathcal{H}_0 = +\infty$ and $\mathcal{H}_0 \cap \text{Ran} T = \{0\}$. In fact, if $Ty = x = \sum_{n \geq 1} \alpha_n x_n$, then $\alpha_n x_n = P_n x = P_n T y = P_n T P_n y$. So $\alpha_n = 0$. And then $x = 0$. \square

Remark. If there is an infinite dimensional linear submanifold \mathcal{X} of \mathcal{H} such that $\mathcal{X} \cap \text{Ran} T = \{0\}$, then it follows from Lemma 2.1 that there is an infinite dimensional subspace \mathcal{H}_0 of \mathcal{H} such that $\mathcal{H}_0 \cap \text{Ran} T = \{0\}$.

Lemma 2.2. *Let $A \in \mathcal{B}(\mathcal{H}_1, \mathcal{H})$, $B \in \mathcal{B}(\mathcal{H}_2, \mathcal{H})$. Suppose that $\text{Ran} B \subset \text{Ran} A$ and suppose that \mathcal{X} is an infinite dimensional linear submainfold of \mathcal{H} such that $\mathcal{X} \cap \text{Ran} A = \{0\}$. Given $\epsilon > 0$, then there exists a compact operator $K \in \mathcal{K}(\mathcal{H}_2, \mathcal{H})$ with $\|K\| < \epsilon$ such that $\text{Ran} A \cap \text{Ran}(B + K) = \{0\}$ and such that $\text{Ker}(B + K) = \{0\}$.*

Proof. By the remark above, find $\mathcal{H}_0 < \mathcal{H}$ such that $\mathcal{H}_0 \cap \text{Ran} A = \{0\}$ and $\dim \mathcal{H}_0 = \infty$. Take an injective $K \in \mathcal{K}(\mathcal{H}_2, \mathcal{H})$ mapping \mathcal{H}_2 into \mathcal{H}_0 and with $\|K\| < \epsilon$. If $u = Ax = (B + K)y = By + Ky$, it follows by $\text{Ran} B \subset \text{Ran} A$ that $Ky \in \text{Ran} A \cap \mathcal{H}_0 = \{0\}$. So $Ky = 0$. Since K is injective, $y = 0$. Hence $\text{Ran} A \cap \text{Ran}(B + K) = \{0\}$. Similarly, $\text{Ker}(B + K) = \{0\}$. \square

Lemma 2.3 ([7]). *Let $T \in \mathcal{B}(\mathcal{H})$. Suppose that $\dim \text{Ker} T = 1$ and suppose that $\bigvee_{n \geq 1} \text{Ker} T^n = \mathcal{H}$. Then T is strongly irreducible.*

Lemma 2.4. *Set*

$$T = \begin{bmatrix} T_1 & T_{12} \\ & T_2 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

where the entry omitted is 0. Suppose that

$$(i) \dim \text{Ker} T_1 = 1, \bigvee_{n \geq 1} \text{Ker} T_1^n = \mathcal{H}_1,$$

$$(ii) \bigvee_{n \geq 1} \text{Ker} T_2^n = \mathcal{H}_2,$$

$$(iii) \text{Ker} T_{12} \cap \text{Ker} T_2 = \{0\},$$

$$(iv) \text{Ran} T_1 \cap \text{Ran}(T_{12}|_{\text{Ker} T_2}) = \{0\}. (\text{Ran}(T_{12}|_{\text{Ker} T_2}) = T_{12}(\text{Ker} T_2).)$$

Then T is strongly irreducible.

Proof. Suppose $T(x \oplus y) = 0$, where $x \in \mathcal{H}_1, y \in \mathcal{H}_2$. Computation shows that $T_2 y = 0$ and $T_1 x + T_{12} y = 0$. By (iv), $T_1 x = 0$, i.e. $x \in \text{Ker} T_1$, and $T_{12} y = 0$. It follows from (iii) that $y = 0$. So $\text{Ker} T = \text{Ker} T_1$. It is easy to show that $\bigvee_{n \geq 1} \text{Ker} T^n = \mathcal{H}_1$. Suppose that P is an idempotent commuting with T . Then $P T^n = T^n P$ for $n \geq 1$. So $P(\text{Ker} T^n) \subset \text{Ker} T^n$ for all $n \geq 1$. Thus $\mathcal{H}_1 \in \text{Lat } P$. Set

$$P = \begin{bmatrix} P_1 & P_{12} \\ & P_2 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

Then $P_i^2 = P_i$ and $P_i T_i = T_i P_i, i = 1, 2$. By Lemma 2.3 and the condition (i), $P_1 = I|_{\mathcal{H}_1}$ or 0. Assume $P_1 = 0$ (otherwise, consider $I - P$). Computing the (1,2)-entry shows that $P_{12} T_2 = T_1 P_{12} + T_{12} P_2$. Let $y \in \text{Ker} T_2$. It follows that $P_2 y \in \text{Ker} T_2$, and so $P_2 T_2 = T_2 P_2$. So $T_1 P_{12} y = -T_{12} P_2 y \in \text{Ran} T_1 \cap \text{Ran}(T_{12}|_{\text{Ker} T_2})$. By the condition (iv), $P_2 y = 0$. Hence $P_2(\text{Ker} T_2) = \{0\}$. If $x \in \text{Ker} T_2^2$, then $T_2 x \in \text{Ker} T_2$.

This shows that $T_2P_2x = P_2T_2x = 0$, $P_2x \in \text{Ker}T_2$. Thus $P_2x = P_2(P_2x) = 0$. So $P_2(\text{Ker}T_2^2) = \{0\}$. Inductively, $P_2(\text{Ker}T_2^n) = \{0\}$ for all $n \geq 1$. By the condition (ii), $P_2 = 0$. So $P = P^2 = 0$, and T is strongly irreducible. \square

Lemma 2.5. Suppose that $T \in \mathcal{B}(\mathcal{H})$ and that T satisfies the following conditions:

(i) $0 \in \partial\sigma(T)$ (the boundary of $\sigma(T)$),

(ii) $\text{Ker } T \subset \bigcap_{n \geq 1} \text{Ran}T^n$,

(iii) $\bigvee_{n \geq 1} \text{Ker}T^n = \mathcal{H}$.

Let $\epsilon > 0$ be given. Then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.

Proof. By Lemma 2.3, we only need to show this lemma in the case that $\dim \text{Ker}T > 1$. Choose $x_0 \in \text{Ker}T \setminus \{0\}$. Let $\mathcal{N}_\infty = \text{Ker}T \ominus \mathcal{C}x_0$. By the condition (ii), we can take $x_k \in \mathcal{N}_\infty^\perp$ such that $Tx_k = x_{k-1}$ for each $k \geq 1$. Let $\mathcal{H}_1 = \bigvee \{x_k : 1 \leq k < +\infty\}$. Then $\mathcal{H}_1 \in \text{Lat } T$ and $\mathcal{N}_\infty \subset \mathcal{H}_1^\perp$. Set

$$(1) \quad T = \begin{bmatrix} T_1 & T_{12} \\ & T_2 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 = \mathcal{H}_1^\perp \end{matrix}$$

It is easy to see that the following hold.

(1) $\dim \text{Ker}T_1 = 1$, $\bigvee_{n \geq 1} \text{Ker}T_1^n = \mathcal{H}_1$ and $\overline{\text{Ran}T_1} = \mathcal{H}_1$.

(2) $0 \in \partial\sigma(T_1)$ (this follows from $0 \in \partial\sigma(T)$).

(3) $\bigvee_{n \geq 1} \text{Ker}T_2^n = \mathcal{H}_2$ (It follows from that $\bigcap_{n \geq 1} \overline{\text{Ran}T_2^{*n}} \subset \bigcap_{n \geq 1} \overline{\text{Ran}T^{*n}} = \{0\}$).

(4) $\mathcal{N}_\infty \subset \text{Ker}T_2$ and $T_{12}(\mathcal{N}_\infty) = \{0\}$

(5) $\text{Ker}(T_{12}|_{\text{Ker}T_2 \ominus \mathcal{N}_\infty}) = \{0\}$ and $\text{Ran}T_1 \cap T_{12}(\text{Ker}T_2 \ominus \mathcal{N}_\infty) = \{0\}$.

Let A be an operator mapping $(\mathcal{H}_1 \ominus \text{Ker}T_1) \oplus (\text{Ker}T_2 \ominus \mathcal{N}_\infty)$ into \mathcal{H}_1 such that $A(x \oplus y) = T_1x + T_{12}y$. By (5) above, $\text{Ker}A = \{0\}$. Since $0 \in \partial\sigma(T_1)$ and $\overline{\text{Ran}T_1} = \mathcal{H}_1$, $A|_{\mathcal{H}_1 \ominus \text{Ker}T_1}$ is unbounded from below. So $\text{Ran}A$ is nonclosed. By Lemma 2.2, we can take a $B \in \mathcal{K}(\mathcal{N}_\infty, \mathcal{H}_1)$ with $\|B\| < \epsilon$ and $\text{Ker}B = \{0\}$ such that $\text{Ran}B \cap \text{Ran}A = \{0\}$. Define

$$Kx = \begin{cases} Bx, & x \in \mathcal{N}_\infty, \\ 0, & x \in \mathcal{N}_\infty^\perp. \end{cases}$$

Then $K \in \mathcal{K}(\mathcal{H})$ and $\|K\| < \epsilon$. It is easy to see that

$$(2) \quad T + K = \begin{bmatrix} T_1 & C \\ & T_2 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

satisfies $\text{Ker}C \cap \text{Ker}T_2 = \{0\}$ and $\text{Ran}T_1 \cap C(\text{Ker}T_2) = \{0\}$. By Lemma 2.4, $T + K$ is strongly irreducible. \square

Lemma 2.6. Let T be an operator acting on \mathcal{H} satisfying the following conditions:

(i) $0 \in \partial\sigma(T)$ and $\bigvee_{n \geq 1} \text{Ker}T^n = \mathcal{H}$.

(ii) $\text{Ker}T \cap (\bigcap_{n \geq 1} \text{Ran}T^n)$ is closed and $\dim \text{Ker}T \ominus (\text{Ker}T \cap (\bigcap_{n \geq 1} \text{Ran}T^n)) < \infty$.

Let $\epsilon > 0$ be given. Then there exists a $K \in \mathcal{K}(\mathcal{H})$ with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.

Proof. Write $\mathcal{N}_\infty = \text{Ker}T \cap (\bigcap_{n \geq 1} \text{Ran}T^n)$. Denote $\text{Ker}T \ominus \mathcal{N}_\infty = \mathcal{N}_0$. By the condition (ii), $\dim \mathcal{N}_0 < +\infty$. For $k \geq 1$, we can inductively define $\mathcal{N}_k = \{x :$

$Tx \in \mathcal{N}_{k-1}, x \perp \mathcal{N}_\infty\}$. Since $\dim \mathcal{N}_0 < +\infty$, $\mathcal{N}_0 \cap \text{Ran} T^{n_0} = \{0\}$ for some n_0 . So $\mathcal{N}_k = \mathcal{N}_0$ when $n_0 \leq k < +\infty$. Thus $\bigvee \{\mathcal{N}_k : k < +\infty\} = \mathcal{N}_{n_0}$. Denote it by \mathcal{H}_1 . Then $\mathcal{H}_1 \in \text{Lat } T$ and $\dim \mathcal{H}_1 \stackrel{\text{def}}{=} m < +\infty$. Set

$$T = \begin{bmatrix} T_1 & T_{12} \\ & T_2 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 = \mathcal{H}_1^\perp \end{matrix}$$

It is not difficult to show that

$$(1) \text{Ker} T_2 = \mathcal{N}_\infty \subset \bigcap_{n \geq 1} \text{Ran} T_2^n.$$

$$(2) \bigvee_{n \geq 1} \text{Ker} T_2^n = \mathcal{H}_2.$$

$$(3) T_1^m = 0.$$

Choose $C \in \mathcal{K}(\mathcal{H}_1)$ with $\|C\| < \frac{\epsilon}{2}$, $(T_1 + C)^{m-1} \neq 0$ and such that $(T_1 + C)^m = 0$. Take unit vectors $f \in \mathcal{H}_1 \ominus \text{Ran}(T_1 + C)$ and $e \in \mathcal{N}_\infty$. Set

$$K_1 = \begin{bmatrix} C & \frac{\epsilon}{4} f \otimes e \\ & 0 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

where $(f \otimes e)x = (x, e)f$. Then $K_1 \in \mathcal{K}(\mathcal{H})$ and $\|K_1\| < \frac{3\epsilon}{4}$. It is not difficult to show that $\text{Ker}(T + K_1) = \text{Ker}(T_1 + C) \oplus (\mathcal{N}_\infty \ominus \mathcal{C}e) \subset \bigcap_{n \geq 1} \text{Ran}(T + K_1)^n$. It is clear

that $0 \in \partial\sigma(T + K_1)$. By Lemma 2.5, one can find a $K_2 \in \mathcal{K}(\mathcal{H})$ with $\|K_2\| < \frac{\epsilon}{4}$ such that $T + K_1 + K_2$ is strongly irreducible. Let $K = K_1 + K_2 \in \mathcal{K}(\mathcal{H})$. Then $\|K\| < \epsilon$. \square

Let $T \in \mathcal{K}(\mathcal{H})$ have the following form:

$$(3) \quad T = \begin{bmatrix} 0 & A_1 & * & * & \cdots \\ & 0 & A_2 & * & \cdots \\ & & 0 & A_3 & \cdots \\ & & & 0 & \\ & & & & \ddots \end{bmatrix} \begin{matrix} \text{Ker} T \\ \text{Ker} T^2 \ominus \text{Ker} T \\ \text{Ker} T^3 \ominus \text{Ker} T^2 \\ \text{Ker} T^4 \ominus \text{Ker} T^3 \\ \vdots \end{matrix}$$

It is easy to see that $\text{Ker} A_i = \{0\}$ and $\text{Ker} T \cap \text{Ran} T^i = \text{Ran}(A_1 A_2 \cdots A_i)$ for all $i \geq 1$. Thus $\text{Ker} T \cap (\bigcap_{n \geq 1} \text{Ran} T^n) = \bigcap_{n \geq 1} \text{Ran}(A_1 A_2 \cdots A_i)$. It follows that

$\text{Ker} T \cap (\bigcap_{n \geq 1} \text{Ran} T^n)$ is closed when $\text{Ran} A_n$ is closed for each $n \geq 1$.

Lemma 2.7. *Let T be as above. Suppose that n_0 is a natural number and suppose that \mathcal{M} is an infinite dimensional subspace of $\text{Ker} T^{n_0} \ominus \text{Ker} T^{n_0-1}$ such that $\mathcal{M} \cap \text{Ran} A_{n_0} = \{0\}$. Let $\epsilon > 0$ be given. Then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.*

Proof. Without loss of generality, assume that $n_0 > 1$ and that A_i has closed range with finite codimension for each $i < n_0$. Let $T_1 = T|_{\text{Ker} T^{n_0-1}}$, i.e.

$$T_1 = \begin{bmatrix} 0 & A_1 & \cdots & * & * \\ & 0 & \ddots & * & * \\ & & \ddots & \vdots & \\ & & & 0 & A_{n_0-2} \\ & & & & 0 \end{bmatrix} \begin{matrix} \text{Ker} T \\ \text{Ker} T^2 \ominus \text{Ker} T \\ \vdots \\ \text{Ker} T^{n_0-2} \ominus \text{Ker} T^{n_0-3} \\ \text{Ker} T^{n_0-1} \ominus \text{Ker} T^{n_0-2} \end{matrix}$$

Since $\text{Ran } A_i$ is closed for each $i < n_0$, T_1 is similar to $\bigoplus_{j=1}^{\infty} J_j$, where J_j is a Jordan block for each j . So we can find $C_1 \in \mathcal{K}(\text{Ker } T^{n_0-1})$ with $\|C_1\| < \frac{\epsilon}{2}$ such that $\dim \text{Ker}(T_1 + C_1) = 1$ and $\bigvee_{n \geq 1} \text{Ker}(T_1 + C_1)^n = \text{Ker } T^{n_0-1}$. It is clear that

$$\text{Ran}(T_1 + C_1) \neq \overline{\text{Ran}(T_1 + C_1)} = \text{Ker } T^{n_0-1}.$$

Let P be the projection onto $(\text{Ker } T^{n_0-1})^\perp$. Write $T_2 = PT|_{\text{Ran } P}$. Then

$$T_2 = \begin{bmatrix} 0 & A_{n_0} & * & \cdots \\ & 0 & A_{n_0+1} & \cdots \\ & & 0 & \cdots \\ & & & \ddots \end{bmatrix} \begin{array}{l} \mathcal{N}_1 = \text{Ker } T^{n_0} \ominus \text{Ker } T^{n_0-1} \\ \mathcal{N}_2 = \text{Ker } T^{n_0+1} \ominus \text{Ker } T^{n_0} \\ \mathcal{N}_3 = \text{Ker } T^{n_0+2} \ominus \text{Ker } T^{n_0+1} \\ \vdots \end{array}$$

Decompose \mathcal{N}_1 as $\mathcal{M} \oplus (\mathcal{N}_1 \ominus \mathcal{M})$. Then

$$T_2 = \begin{bmatrix} 0 & 0 & B_2 & * & \cdots \\ & 0 & B_1 & * & \cdots \\ & & 0 & A_{n_0+1} & \cdots \\ & & & 0 & \cdots \\ & & & & \ddots \end{bmatrix} \begin{array}{l} \mathcal{M} \\ \mathcal{N}_1 \ominus \mathcal{M} \\ \mathcal{N}_2 \\ \mathcal{N}_3 \\ \vdots \end{array}$$

where $\begin{bmatrix} B_2 \\ B_1 \end{bmatrix} = A_{n_0}$. For each $0 \neq x \in \mathcal{N}_2$, $A_{n_0}x = B_2x + B_1x \notin \mathcal{M}$. So $B_1x \neq 0$. Set

$$T_3 = \begin{bmatrix} 0 & B_1 & * & \cdots \\ & 0 & A_{n_0+1} & \cdots \\ & & 0 & \cdots \\ & & & \ddots \end{bmatrix} \begin{array}{l} \mathcal{N}_1 \ominus \mathcal{M} \\ \mathcal{N}_2 \\ \mathcal{N}_3 \\ \vdots \end{array}$$

Then $\text{Ker } T_3 = \mathcal{N}_1 \ominus \mathcal{M}$, and T can be written as

$$(4) \quad T = \begin{bmatrix} T_1 & T_{12} & * \\ & 0 & T_{23} \\ & & T_3 \end{bmatrix} \begin{array}{l} \mathcal{H}_1 = \text{Ker } T^{n_0-1} \\ \mathcal{M} \\ \mathcal{H}_2 = \mathcal{H} \ominus (\mathcal{H}_1 \oplus \mathcal{M}) \end{array}$$

where $T_{23}|_{\text{Ker } T_3} = 0$. Take $C_2 \in \mathcal{K}(\mathcal{M})$ with $\|C_2\| < \frac{\epsilon}{4}$ such that $\dim \text{Ker } C_2 = 1$ and such that $\bigvee_{n \geq 1} \text{Ker } C_2^n = \mathcal{M}$. By Lemma 2.2, choose $C_3 \in \mathcal{K}(\mathcal{H}_2, \mathcal{M})$ with $\|C_3\| < \frac{\epsilon}{8}$

such that $\text{Ran } C_3 \cap \text{Ran } C_2 = \{0\}$ and such that $\text{Ker } C_3 = \mathcal{H}_2 \ominus \text{Ker } T_3$. Take a rank one operator $C_4 \in \mathcal{K}(\mathcal{M}, \mathcal{H}_1)$ with $\|C_4\| < \frac{\epsilon}{16}$ such that $\text{Ker } C_4 = \mathcal{M} \ominus \text{Ker } C_2$, $\text{Ker}(T_{12} + C_4) \cap \text{Ker } C_2 = \{0\}$ and $(T_{12} + C_4)(\text{Ker } C_2) \cap \text{Ran}(T_1 + C_1) = \{0\}$. Set

$$K = \begin{bmatrix} C_1 & C_4 & 0 \\ & C_2 & C_3 \\ & & 0 \end{bmatrix} \begin{array}{l} \mathcal{H}_1 \\ \mathcal{M} \\ \mathcal{H}_2 \end{array}$$

Then $K \in \mathcal{K}(\mathcal{H})$ and $\|K\| < \epsilon$, and so

$$(5) \quad T + K = \begin{bmatrix} T_1 + C_1 & T_{12} + C_4 & * \\ & C_2 & T_{23} + C_3 \\ & & T_3 \end{bmatrix} \begin{array}{l} \mathcal{H}_1 \\ \mathcal{M} \\ \mathcal{H}_2 \end{array}$$

Similarly to the proof of Lemma 2.4, one can with no difficulty verify that $T + K$ is strongly irreducible. \square

Lemma 2.8. *Suppose that T has the form (3) and that the following conditions are satisfied:*

- (i) $\overline{\text{Ran}A_i} = \text{Ran}A_i$ and $\dim\text{Ker}A_i^* < +\infty$ for each i .
- (ii) $\dim\text{Ker}T \cap \bigcap_{n \geq 1} (\text{Ran}T^n) = +\infty$.
- (iii) $\dim\text{Ker}T \ominus (\text{Ker}T \cap (\bigcap_{n \geq 1} \text{Ran}T^n)) = +\infty$.

Let $\epsilon > 0$ be given. Then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.

Proof. Write $\mathcal{N}_\infty = \text{Ker}T \cap (\bigcap_{n \geq 1} \text{Ran}T^n)$ and $\mathcal{N}_0 = \text{Ker}T \ominus \mathcal{N}_\infty$. For $1 \leq k < +\infty$, inductively define $\mathcal{N}_k = \{x : Tx \in \mathcal{N}_{k-1}, x \perp \mathcal{N}_\infty\}$, and set $\bigvee \{\mathcal{N}_k : 0 \leq k < +\infty\} = \mathcal{H}_1$. By (i) and (iii), there is an infinite dimensional linear submanifold \mathcal{X} of \mathcal{H}_1 such that $\mathcal{X} \cap \text{Ran}T = \{0\}$. It is clear that $\mathcal{H}_1 \in \text{Lat } T$. Set

$$T = \begin{bmatrix} T_1 & T_{12} \\ & T_2 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_1^\perp \end{matrix}$$

It is easy to see that

- (1) $\text{Ker}T_1 = \mathcal{N}_0$ and $\bigvee_{n \geq 1} \text{Ker}T_1^n = \mathcal{H}_1$,
- (2) $\mathcal{N}_\infty \subset \text{Ker}T_2$ and $\bigvee_{n \geq 1} \text{Ker}T_2^n = \mathcal{H}_1^\perp$.

Write $\mathcal{M} = A_1^{-1}(\mathcal{N}_\infty)$. Since $\text{Ran}A_1$ is closed and $\text{Ker}A_1 = \{0\}$, we can find a positive number r such that $r\|x\| \leq \|A_1x\|$ for $x \in \mathcal{M}$. Write $\mathcal{L} = P_{\mathcal{H}_1^\perp}\mathcal{M}$, where $P_{\mathcal{H}_1^\perp}$ is the projection onto \mathcal{H}_1^\perp . Suppose $x = x_1 \oplus x_2 \in \mathcal{M}$, $x_1 \in \mathcal{H}_1$, $x_2 \in \mathcal{L}$.

$$\|P_{\mathcal{H}_1^\perp}x\| = \|x_2\| \geq \frac{\|T_2x_2\|}{\|T_2\|} = \frac{\|A_1x_2\|}{\|T_2\|} \geq \frac{r}{\|T_2\|}\|x\|.$$

So \mathcal{L} is closed. Moreover, $T_{12}y \in \text{Ran}T_1$ for all $y \in \mathcal{L}$. Write $\mathcal{H}_2 = \mathcal{H}_1^\perp \ominus \mathcal{N}_\infty$. Set

$$T_2 = \begin{bmatrix} 0 & T_{23} \\ & T_3 \end{bmatrix} \begin{matrix} \mathcal{N}_\infty \\ \mathcal{H}_2 \end{matrix}$$

It is easy to see that $\text{Ker}T_3 = \mathcal{L} \oplus (\text{Ker}T_2 \ominus \mathcal{N}_\infty)$ and that $\bigvee_{n \geq 1} \text{Ker}T_3^n = \mathcal{H}_2$. If $0 \neq y \in \text{Ker}T_3 \ominus \mathcal{L}$, then $T_{12}y \notin \text{Ran}T_1$. Notice that $\mathcal{X} \cap (\text{Ran}T_1 + T_{12}(\text{Ker}T_3 \ominus \mathcal{L})) \subset \mathcal{X} \cap \text{Ran}T = \{0\}$ and that

$$(6) \quad T = \begin{bmatrix} T_1 & 0 & T_{12}|_{\mathcal{H}_2} \\ & 0 & T_{23} \\ & & T_3 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{N}_\infty \\ \mathcal{H}_2 \end{matrix} = \begin{bmatrix} 0 & 0 & T_{23} \\ & T_1 & T_{12}|_{\mathcal{H}_2} \\ & & T_3 \end{bmatrix} \begin{matrix} \mathcal{N}_\infty \\ \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

Similarly to the proof of Lemma 2.5, by Lemma 2.2 there is a $C \in \mathcal{K}(\mathcal{H}_2, \mathcal{H}_1)$ with $\|C\| < \frac{\epsilon}{2}$ such that $\text{Ker}C = \mathcal{H}_2 \ominus \mathcal{L}$ and $\text{Ran}C \cap (\text{Ran}T_1 + T_{12}(\text{Ker}T_3 \ominus \mathcal{L})) = \{0\}$. Write $B = C + T_{12}|_{\mathcal{H}_2}$. Then $\text{Ran}T_1 \cap B(\text{Ker}T_3) = \{0\}$. Take $T_0 \in \mathcal{K}(\mathcal{N}_\infty)$ with $\|T_0\| < \frac{\epsilon}{4}$ such that $\dim\text{Ker}T_0 = 1$ and such that $\bigvee_{n \geq 1} \text{Ker}T_0^n = \mathcal{N}_\infty$. Since $\dim\mathcal{N}_\infty = +\infty$, $\text{Ran}T_0 \neq \overline{\text{Ran}T_0} = \mathcal{N}_\infty$. By Lemma 2.2, we can find a $D \in \mathcal{K}(\mathcal{H}_1, \mathcal{N}_\infty)$ with $\|D\| < \frac{\epsilon}{8}$ such that $\text{Ran}D \cap \text{Ran}T_0 = \{0\}$ and $\text{Ker}D = \mathcal{H}_1 \ominus \text{Ker}T_1$. Set

$$K = \begin{bmatrix} T_0 & D & 0 \\ & 0 & C \\ & & 0 \end{bmatrix} \begin{matrix} \mathcal{N}_\infty \\ \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

Then $K \in \mathcal{K}(\mathcal{H})$, $\|K\| < \epsilon$ and

$$(7) \quad T + K = \begin{bmatrix} T_0 & D & * \\ & T_1 & B \\ & & T_3 \end{bmatrix} \begin{array}{l} \mathcal{N}_\infty \\ \mathcal{H}_1 \\ \mathcal{H}_2 \end{array}$$

Similarly to the proof of Lemma 2.4, one can show that $T + K$ is strongly irreducible. \square

By the equivalence of $(\text{str-v})_{-m}$ and $(\text{str-vi})_{-m}$ of Theorem 1.2 in [4], we have the following lemma.

Lemma 2.9 ([4]). *Let m be a natural number and let $T \in \mathcal{B}(\mathcal{H})$ be a quasitriangular operator with $\sigma(T)$ and $\sigma_w(T)$ connected. Let $\epsilon > 0$ and $\lambda \in \sigma_e(T) \cup (\bigcup_{k \geq m} \rho_{S-F}^{(k)}(T))$ be given. Then there exist a $K \in \mathcal{K}(\mathcal{H})$ with $\|K\| < \epsilon$ and a sequence $\{P_n\}_{n \geq 0}$ of finite rank projections increasing to I with respect to the strong operator topology with $\text{rank} P_n = mn$ such that $(I - P_n)(T - \lambda + K)P_n = 0$ for all $n \geq 0$, i.e.*

$$T + K - \lambda = \begin{bmatrix} 0 & * & * & * & \cdots \\ & 0 & * & * & \cdots \\ & & 0 & * & \cdots \\ & & & 0 & \cdots \\ & & & & \ddots \end{bmatrix} \begin{array}{l} \text{Ran} P_1 \\ \text{Ran}(P_2 - P_1) \\ \text{Ran}(P_3 - P_2) \\ \text{Ran}(P_4 - P_3) \\ \vdots \end{array}$$

Theorem 2.1. *Let $T \in \mathcal{B}(\mathcal{H})$ be a quasitriangular operator with $\sigma(T)$ and $\sigma_w(T)$ connected. Let $\epsilon > 0$ be given. Then there exists a compact operator K with $\|K\| < \epsilon$ such that $T + K$ is strongly irreducible.*

Proof. Without loss of generality, assume that $0 \in \partial\sigma(T)$. By Lemma 2.9, find $K_1 \in \mathcal{K}(\mathcal{H})$ with $\|K_1\| < \frac{\epsilon}{4}$ and a sequence $\{P_n^{(1)}\}_{n \geq 0}$ of finite rank projections increasing to I with respect to the strong operator topology so that

$$T + K_1 = \begin{bmatrix} 0 & * & * & \cdots \\ & 0 & * & \cdots \\ & & 0 & \cdots \\ & & & \ddots \end{bmatrix} \begin{array}{l} \text{Ran} P_1^{(1)} \\ \text{Ran}(P_2^{(1)} - P_1^{(1)}) \\ \text{Ran}(P_3^{(1)} - P_2^{(1)}) \\ \vdots \end{array}$$

It is obvious that $\sigma_p((T + K_1)^*) \subset \{0\}$. While $0 \in \sigma_{lre}(T)$,

$$\sigma(T + K_1) = \sigma_w(T + K_1) = \sigma_w(T).$$

Write $P_1 = P_1^{(1)}$ and $\mathcal{N}_1 = \text{Ran} P_1^{(1)}$. Let $T_1 = (I - P_1)(T + K_1)|_{\text{Ran}(I - P_1)}$. It is not difficult to show that $\sigma(T_1) = \sigma_w(T_1) = \sigma_w(T)$, $\sigma_{lre}(T_1) = \sigma_{lre}(T)$ and $\text{ind}(T_1 - \lambda) = \text{ind}(T - \lambda)$ for all $\lambda \in \rho_{S-F}(T_1)$. Applying Lemma 2.9 to T_1 , one can find a compact operator $K^{(2)} \in \mathcal{K}(\mathcal{H})$ with $\|K^{(2)}\| < \frac{\epsilon}{8}$ and a sequence $\{P_n^{(2)}\}_{n \geq 0}$ of finite rank projections increasing to $I|_{\text{Ran}(I - P_1)}$ with respect to the

strong operator topology such that $\text{rank} P_1^{(2)} = 2\text{rank} P_1$ and

$$T_1 + K^{(2)} = \begin{bmatrix} 0 & * & * & \cdots \\ & 0 & * & \cdots \\ & & 0 & \\ & & & \ddots \end{bmatrix} \begin{matrix} \text{Ran} P_1^{(2)} \\ \text{Ran}(P_2^{(2)} - P_1^{(2)}) \\ \vdots \\ \vdots \end{matrix}$$

Since $\text{rank} P_2^{(1)} < +\infty$, there is a natural number n_1 such that $\|(I - P_2)P_2^{(1)}\| < \frac{1}{2}$, where P_2 is the projection onto $\text{Ran} P_1 \oplus \text{Ran} P_{n_1}^{(2)}$. Write $\mathcal{N}_2 = \text{Ran} P_1^{(2)}$ and $\mathcal{N}_j = \text{Ran}(P_{j-1}^{(2)} - P_{j-2}^{(2)})$ for $2 < j \leq n_1 + 1$. Set

$$K_2 = \begin{bmatrix} 0 & \\ & K^{(2)} \end{bmatrix} \begin{matrix} \text{Ran} P_1 \\ \text{Ran}(I - P_1) \end{matrix}$$

Then $K_2 \in \mathcal{K}(\mathcal{H})$ and $\|K_2\| < \frac{\epsilon}{8}$. Let $T_2 = (I - P_2)(T + K_1 + K_2)|_{\text{Ran}(I - P_2)}$. One can show that $\sigma(T_2) = \sigma_w(T_2) = \sigma_w(T)$, $\sigma_{lre}(T_2) = \sigma_{lre}(T)$ and $\text{ind}(T_2 - \lambda) = \text{ind}(T - \lambda)$ for all $\lambda \in \rho_{S-F}(T_2)$.

Repeatedly using the process above, we can inductively choose a sequence $\{n_i\}_{i \geq 1}$ of natural numbers, a sequence $\{\mathcal{N}_k\}_{k \geq 1}$ of pairwise orthogonal finite dimensional subspaces, an increasing sequence $\{P_k\}$ of finite rank projections and a sequence $\{K_n\}_{n \geq 1}$ of compact operators such that

(i) $\dim \mathcal{N}_k \leq \dim \mathcal{N}_{k+1} < +\infty$ ($k \geq 1$),

(ii) $\text{Ran} P_k = \bigoplus \{\mathcal{N}_j : j \leq 1 + \sum_{i=1}^{k-1} n_i\}$ ($k \geq 1$),

(iii) $\dim \mathcal{N}_{2 + \sum_{i=1}^{k-1} n_i} = 2^k \text{rank} P_k$ ($k \geq 1$),

(iv) $\|(I - P_n)P_n^{(1)}\| < \frac{1}{n}$ for $n \geq 1$, and hence $\bigoplus_{1 \leq k < +\infty} \mathcal{N}_k = \mathcal{H}$.

(v) $\|K_n\| < \frac{\epsilon}{2^{n+1}}$, hence $\overline{K}_1 = \sum_{1 \leq k < +\infty} K_n \in \mathcal{K}(\mathcal{H})$ and $\|\overline{K}_1\| < \frac{\epsilon}{2}$,

(vi)

$$T + \overline{K}_1 = \begin{bmatrix} 0 & B_1 & * & * & \cdots \\ & 0 & B_2 & * & \cdots \\ & & 0 & B_3 & \cdots \\ & & & 0 & \\ & & & & \ddots \end{bmatrix} \begin{matrix} \mathcal{N}_1 \\ \mathcal{N}_2 \\ \mathcal{N}_3 \\ \mathcal{N}_4 \\ \vdots \end{matrix}$$

Since $\dim \mathcal{N}_k \leq \dim \mathcal{N}_{k+1} < +\infty$, we can choose $C_k \in \mathcal{K}(\mathcal{N}_{k+1}, \mathcal{N}_k)$ with $\|C_k\| < \frac{\epsilon}{k+3}$ so that $B_k + C_k$ is surjective. Set

$$C = \begin{bmatrix} 0 & C_1 & & \\ & 0 & C_2 & \\ & & 0 & \ddots \\ & & & \ddots \end{bmatrix} \begin{matrix} \mathcal{N}_1 \\ \mathcal{N}_2 \\ \mathcal{N}_3 \\ \vdots \end{matrix}$$

Then $C \in \mathcal{K}(\mathcal{H})$ and $\|C\| < \frac{\epsilon}{4}$. Write $\overline{K}_2 = \overline{K}_1 + C$. It is easy to see that

$$\dim(\text{Ker}(T + \overline{K}_2) \cap (\bigcap_{n \geq 1} \text{Ran}(T + \overline{K}_2)^n)) = +\infty$$

and that $\bigvee_{n \geq 1} \text{Ker}(T + \overline{K}_2)^n = \mathcal{H}$. Set $T + \overline{K}_2$ in the form (3):

$$T + \overline{K}_2 = \begin{bmatrix} 0 & A_1 & * & \cdots \\ & 0 & A_2 & \\ & & 0 & \ddots \\ & & & \ddots \end{bmatrix} \begin{matrix} \text{Ker}(T + \overline{K}_2) \\ \text{Ker}(T + \overline{K}_2)^2 \ominus \text{Ker}(T + \overline{K}_2) \\ \text{Ker}(T + \overline{K}_2)^3 \ominus \text{Ker}(T + \overline{K}_2)^2 \\ \vdots \end{matrix}$$

Consider each A_i . By Lemmas 2.6–2.8, we can find $\overline{K}_3 \in \mathcal{K}(\mathcal{H})$ with $\|\overline{K}_3\| < \frac{\epsilon}{4}$ such that $T + \overline{K}_2 + \overline{K}_3$ is strongly irreducible. Let $K = \overline{K}_2 + \overline{K}_3 \in \mathcal{K}(\mathcal{H})$. Then $\|K\| < \epsilon$. This completes the proof of Theorem 2.1. \square

Remark. In fact, Theorem 2.1 can be strengthened to the theorem below, and this will be useful in answering Question H.

Theorem 2.1'. *Let T be a quasitriangular operator with $\sigma(T)$ and $\sigma_w(T)$ connected. Given $\epsilon > 0$, then there exists a compact operator K with $\|K\| < \epsilon$ such that*

- (i) $T + K$ is strongly irreducible,
- (ii) $\sigma_p((T + K)^*) = \emptyset$,
- (iii) $\text{Ker} \tau_{B, T+K} = \{0\}$ if $\sigma_p(B) = \emptyset$, where $\tau_{B, T+K}$ is the Rosenblum operator.

Proof. Look back to the proof of Theorem 2.1. It is easy to see that $T + \overline{K}_2$ has dense range. We know that if $A = \{a_{ij}\}_{ij}$ is a triangular operator with respect to a suitable orthonormal basis, then $\sigma_p(A^*) \subset \{\overline{a}_{ii} : i\}$. Now we recall the proof of Lemmas 2.5–2.8.

(a) Look back to the formula (1) in the proof of Lemma 2.5. If $\text{Ran} T$ is dense in \mathcal{H} , then $\sigma_p(T_1^*) = \sigma_p(T_2^*) = \emptyset$. To see (2), note that $\sigma_p((T + K)^*) = \emptyset$. Look back to the proof of Lemma 2.6. If $\text{Ran} T$ is dense in \mathcal{H} , then $\text{Ran}(T + K_1)$ is dense. Thus $\sigma_p((T + K)^*) = \emptyset$.

(b) Now look at (4), in the proof of Lemma 2.7. If $\overline{\text{Ran} T} = \mathcal{H}$, then $\overline{\text{Ran} T}_3 = \mathcal{H}_2$ and $\sigma_p(T_3^*) = \emptyset$. In (5), it is clear that $\sigma_p((T_1 + C_1)^*) = \sigma_p(C_2^*) = \emptyset$. So $\sigma_p((T + K)^*) = \emptyset$.

(c) Look back to (6) in the proof of Lemma 2.8. Write $W = T_{12}P_{\mathcal{L}}$ and $V = T_{12}P_{\mathcal{H}_2 \ominus \mathcal{L}}$. Then $T_{12}|_{\mathcal{H}_2} = W + V$. Look at (7). Set

$$S = \begin{bmatrix} T_1 & B \\ & T_3 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

If $\overline{\text{Ran} T} = \mathcal{H}$, then $\overline{\text{Ran} T}_3 = \mathcal{H}_2$. So $\sigma_p(T_3^*) = \emptyset$. If $S^*(x \oplus y) = 0$, where $x \in \mathcal{H}_1$ and $y \in \mathcal{H}_2$, then $T_1^*x = 0$ and $B^*x + T_3^*y = 0$. Notice that $B = C + W + V$. Since $\text{Ran} W \subset \text{Ran} T_1$, $W^*x = 0$. Since $\text{Ran} C^* \subset \mathcal{L}$ and $\text{Ran} V^* \subset \mathcal{H}_2 \ominus \mathcal{L}$, it follows that $C^*x = 0$. Hence $V^*x + T_3^*y = 0$, i.e. $T^*(x \oplus y) = 0$. While $\overline{\text{Ran} T} = \mathcal{H}$, $x \oplus y = 0$. Thus $\sigma_p(S^*) = \emptyset$. It is clear that $\sigma_p(T_0^*) = \emptyset$. So $\sigma_p((T + K)^*) = \emptyset$.

Summarily, in the proof of Theorem 2.1, because $\text{Ran}(T + \overline{K}_2)$ is dense in \mathcal{H} , not only is $T + K$ strongly irreducible, but also $\sigma_p((T + K)^*) = \emptyset$.

Now we are going to prove (iii). Without loss of generality, assume that $T + K \stackrel{\text{def}}{=} A$ can be written as

$$A = \begin{bmatrix} T_1 & * & * \\ & T_2 & * \\ & & T_3 \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \\ \mathcal{H}_3 \end{matrix}$$

where $\bigvee_{n \geq 1} \text{Ker} T_i^n = \mathcal{H}_i$, $i = 1, 2, 3$. Suppose $BX - XA = 0$. Write $X = (X_1, X_2, X_3)$ where $X_i = X|_{\mathcal{H}_i}$. Thus $BX_1 - X_1T_1 = 0$ and hence $B^n X_1 = X_1 T_1^n$ for all $n \geq 1$. If $y \in \text{Ker} T_1^n$, then $B^n X_1 y = 0$. By $\sigma_p(B) = \emptyset$, $X_1 y = 0$. So $X_1 = 0$. Similarly, $X_2 = 0$ and $X_3 = 0$, i.e. $X = 0$. \square

By the upper semi-continuity of the spectrum, the continuity of index and Theorem 2.2 of [1] or Theorem 3.49 of [3], we have the following lemma.

Lemma 2.10 ([1], [3]). *Suppose $\emptyset \neq \Gamma \subset \sigma_{le}(T)$ and $\epsilon > 0$. Then there exists a compact operator K with $\|K\| < \epsilon$ such that*

$$T + K = \begin{bmatrix} N & * \\ \tilde{T} & \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \end{matrix}$$

where N is a diagonal normal operator of uniform infinite multiplicity, $\sigma(N) = \sigma_{le}(N) = \Gamma$, $\sigma(\tilde{T}) = \sigma(T)$, $\sigma_{le}(\tilde{T}) = \sigma_{le}(T)$, and $\text{ind}(\tilde{T} - \lambda) = \text{ind}(T - \lambda)$ for all $\lambda \in \rho_{S-F}(T)$.

Lemma 2.11 ([2], [3]). *Let A, B be two operators and let $\tau_{A,B}$ be the Rosenblum operator. Then the followings are equivalent:*

- (i) $\sigma_r(A) \cap \sigma_l(B) = \emptyset$.
- (ii) $\tau_{A,B}$ is surjective.
- (iii) $\text{Ran} \tau_{A,B}$ contains all compact operators.

By Corollary 2.4 of [4], it is not difficult to prove the following lemma.

Lemma 2.12 ([4], [5]). *Suppose that $T \in \mathcal{B}(\mathcal{H})$ is quasitriangular and that $\sigma(T) = \sigma_w(T)$. Let $\Gamma = \{\lambda_n\}_{n \geq 1} \subset \sigma(T)$ satisfying the following conditions:*

- (i) $\text{Card}\{n : \lambda_n = \lambda_j\} = +\infty$ for all $j \geq 1$.
- (ii) Each clopen of $\sigma(T)$ intersects with Γ .

Let $\epsilon > 0$ be open. Then there exists a compact operator K with $\|K\| < \epsilon$ such that $\bigvee \{\text{Ker}(T + K - \lambda_n)^k : n \geq 1, k \geq 1\} = \mathcal{H}$, $\Gamma \subset \sigma_p(T + K)$ and $\sigma_p((T + K)^) = \emptyset$.*

Moreover, if $\sigma(T)$ and $\sigma_w(T)$ are connected, and if $\rho_{S-F}^{(n)}(T)$ contains a nonempty connected open subset Ω , then K can be chosen so that $T + K \in \mathcal{B}_n(\Omega)$.

Lemma 2.13 ([11]). *Let $T \in \mathcal{B}(\mathcal{H})$. Suppose $\sigma_0(T) = \emptyset$ and $\epsilon > 0$. Then there exists a compact operator K with $\|K\| < \epsilon$ such that*

- (i) $\sigma(T + K) = \sigma(T)$,
- (ii) $\min \text{ind}(T + K - \lambda) = \begin{cases} 0, & \lambda \in \rho_{S-F}^{\pm}(T), \\ 1, & \lambda \in \rho_{S-F}^{(0)}(T) \cap \sigma(T). \end{cases}$

Lemma 2.14. *Let $T \in \mathcal{B}(\mathcal{H})$. Suppose that $\sigma(T) \cap \rho_{S-F}(T) = \rho_{S-F}^{+}(T)$. Let $\{\Omega_j\}_j$ be the connected components of $\rho_{S-F}^{(1)}(T)$. Suppose that $\bigcup_j \Omega_j$ intersects with arbitrary clopen of $\sigma(T)$. Let $\epsilon > 0$ be given. Then there exists a $K \in \mathcal{K}(\mathcal{H})$ with $\|K\| < \epsilon$ such that*

- (i) $\bigvee \{\text{Ker}(T + K - \lambda) : \lambda \in \bigcup_j \Omega_j\} = \mathcal{H}$ and $\sigma_p((T + K)^*) = \emptyset$.

(ii) $T + K$ has the form

$$T + K = \begin{bmatrix} B_1 & & & \\ ** & B_2 & & \\ ** & * & B_3 & \\ \vdots & \vdots & & \ddots \\ & & ** & & B_\infty \end{bmatrix} \begin{bmatrix} \mathcal{M}_1 \\ \mathcal{M}_2 \\ \mathcal{M}_3 \\ \vdots \\ \mathcal{M}_\infty \end{bmatrix}$$

where each B_j ($j < \infty$) is a Cowen-Douglas operator with index 1, $\sigma(B_i) \cap \sigma(B_j) = \emptyset$ ($i \neq j, i, j < +\infty$) and $\bigvee \{\mathcal{M}_j : k \leq j \leq +\infty\}$ is invariant under the commutant of $T + K$ ($1 \leq k \leq +\infty$).

Proof. Let σ_j be the maximal connected closed subset of $\sigma(T)$ containing Ω_j for each j . Without loss of generality, assume that $\sigma_i \cap \sigma_j = \emptyset$ when $i \neq j$. Let Φ_j be the interior of the closure of Ω_j . Take $\alpha_j \in \Omega_j$. Let μ_j be the probability measure supported by $\partial\Phi_j$ such that $\int \varphi(z) d\mu_j(z) = \varphi(\alpha_j)$ for those functions analytic in a neighbourhood of $\overline{\Phi_j}$. Let M_j be the operator of multiplication by z on $L^2(\mu_j)$. Let $H^2(\mu_j)$ be the span of all rational functions with poles outside $\overline{\Phi_j}$. Set

$$M_j = \begin{bmatrix} M_j^+ & * \\ & M_j^- \end{bmatrix} \begin{bmatrix} H^2(\mu_j) \\ H^2(\mu_j)^\perp \end{bmatrix}$$

It is easy to show that

(1) M_j is normal and $\sigma(M_j) = \sigma_{lre}(M_j) = \partial\Phi_j$,

(2) $\sigma(M_j^-) = \overline{\Phi_j}$ and $M_j^- \in \mathcal{B}_1(\Phi_j)$.

Applying Lemma 2.10 to T^* , one can take $K_1 \in \mathcal{K}(\mathcal{H})$ with $\|K_1\| < \frac{\epsilon}{2}$ such that

$$(3) \quad T + K_1 = \begin{bmatrix} T_1 & * \\ & \bigoplus_j N_j \end{bmatrix},$$

(4) $\sigma(T_1) = \sigma(T)$, $\sigma_{lre}(T_1) = \sigma_{lre}(T)$ and $\text{ind}(T - \lambda) = 1$ for $\lambda \in \bigcup_j \Omega_j$.

(5) N_j is diagonal normal and $\sigma(N_j) = \sigma_{lre}(N_j) = \partial\Phi_j$ for each j .

Since N_j, M_j are normal and $\sigma(N_j) = \sigma_{lre}(N_j) = \sigma_{lre}(M_j) = \sigma(M_j)$, there exists a compact operator \overline{K}_j with $\|\overline{K}_j\| < \frac{\epsilon}{2j+3}$ such that $N_j + \overline{K}_j \cong M_j$, where \cong is the unitary equivalence relation. Thus there is a $K_2 \in \mathcal{K}(\mathcal{H})$ with $\|K_2\| < \frac{\epsilon}{4}$ such that

$$\begin{aligned} T + K_1 + K_2 &\cong \begin{bmatrix} T_1 & * \\ & \bigoplus_j M_j \end{bmatrix} \\ &= \begin{bmatrix} T_1 & * & * \\ & \bigoplus_j M_j^+ & * \\ & & \bigoplus_j M_j^- \end{bmatrix} \\ &\stackrel{\text{def}}{=} \begin{bmatrix} T_2 & T_{12} \\ & \bigoplus_j M_j^- \end{bmatrix} \end{aligned}$$

By Theorem 3.48 of [3], choose $K_3 \in \mathcal{K}(\mathcal{H})$ with $\|K_3\| < \frac{\epsilon}{8}$ such that

$$T + \sum_{j=1}^3 K_j \cong \begin{bmatrix} T_2 + C_1 & * \\ & \bigoplus_j M_j^- \end{bmatrix}$$

and $\sigma(T_2 + C_1) = \sigma_w(T_2 + C_1) = \sigma(T) \setminus \bigcup_j \Omega_j$. Notice that each clopen σ of $\sigma(T_2 + C_1)$ intersects with the closure of $\bigcup_j \Omega_j$. There is a subset $\{\lambda_k\}_{k \geq 1} \subset \bigcup_j \Omega_j$ such that

$$(6) \sup_k \text{dist}(\lambda_k, \sigma(T_2 + C_1)) < \frac{\epsilon}{16} \text{ and } \lim_k \text{dist}(\lambda_k, \sigma(T_2 + C_1)) = 0.$$

(7) Each clopen of $\sigma(T_2 + C_1)$ contains limit points of $\{\lambda_k\}_{k \geq 1}$.

Let $\Gamma = \{\mu_k\}_{k \geq 1}$ be a dense subset of all limit points of $\{\lambda_k\}_{k \geq 1}$. By Lemma 2.12, find $K_4 \in \mathcal{K}(\mathcal{H})$ with $\|K_4\| < \frac{\epsilon}{16}$ such that

$$T + \sum_{j=1}^4 K_j \cong \begin{bmatrix} T_2 + C_1 + C_2 & * \\ & \bigoplus_j M_j^- \end{bmatrix}$$

where

$$T_2 + C_1 + C_2 = \begin{bmatrix} v_1 & * & * & \cdots \\ & v_2 & * & \cdots \\ & & v_3 & \\ & & & \ddots \end{bmatrix}$$

with respect to a suitable orthonormal basis, where $v_i \in \Gamma$, and $\text{Card}\{n : v_n = \mu_j\} = +\infty$ for all $j \geq 1$. Choose λ_{k_j} such that $|\lambda_{k_j} - v_j| < \frac{\epsilon}{2^5 j}$ and $\lambda_{k_j} \notin \{\lambda_{k_i}\}_{i < j}$. Perturb v_j by $\lambda_{k_j} - v_j$. Then one can find $K_5 \in \mathcal{K}(\mathcal{H})$ with $\|K_5\| < \frac{\epsilon}{32}$ such that

$$T + \sum_{j=1}^5 K_j \cong \begin{bmatrix} T_2 + \sum_{i=1}^3 C_i & * \\ & \bigoplus_j M_j^- \end{bmatrix}$$

where $\sigma_0(T_2 + \sum_{i=1}^3 C_i) = \{\lambda_{k_j}\}_{j \geq 1}$, $\bigvee_j \text{Ker}(T_2 + \sum_{i=1}^3 C_i - \lambda_{k_j})$ is equal to the acting space of $T_2 + \sum_{i=1}^3 C_i$, and $\text{rank} E(\lambda_{k_j}, T_2 + \sum_{i=1}^3 C_i) = 1$. Write $\overline{T} = T_2 + \sum_{i=1}^3 C_i$. Without loss of generality, assume that $\lambda_{k_j} = \lambda_j$ and that

$$T + \sum_{j=1}^5 K_j = \begin{bmatrix} \overline{T} & * \\ & \bigoplus_j M_j^- \end{bmatrix} \begin{matrix} \mathcal{M}^\perp \\ \mathcal{M} \end{matrix}$$

Notice that $\sigma_p((T + \sum_{i=1}^5 K_i)^*) \subset \{\bar{\lambda}_j : j \geq 1\}$. If $\sigma_p((T + \sum_{i=1}^5 K_i)^*)$ is nonempty, denote it by $\{\bar{a}_j : j\}$. Write $\mathcal{H}_1 = \bigvee \{\text{Ker}(\overline{T} - a_j) : j\}$, $\mathcal{H}_2 = \mathcal{M}^\perp \ominus \mathcal{H}_1$. Then $T + \sum_{j=1}^5 K_j$ can be written as

$$T + \sum_{j=1}^5 K_j = \begin{bmatrix} A_1 & A_{12} & A_{13} \\ & A_2 & A_{23} \\ & & \bigoplus_j M_j^- \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_2 \\ \mathcal{M} \end{matrix}$$

Notice that $\bigcup_k \text{Ran}(A_1 - a_k) \neq \mathcal{H}_1$ and that $\bigcup_k \text{Ran}(\bigoplus_j M_j^- - a_k)^* \neq \mathcal{M}$. Choose unit vectors $e \in \mathcal{H}_1 \setminus \bigcup_k \text{Ran}(A_1 - a_k)$ and $f \in \mathcal{M} \setminus \bigcup_k \text{Ran}(\bigoplus_j M_j^- - a_k)^*$. Define

$K_6x = \frac{\epsilon}{64}(x, f)e$, where (x, f) is the scalar product of x and f . Let $K = \sum_{j=1}^6 K_j \in \mathcal{K}(\mathcal{H})$. Then $\|K\| < \epsilon$. It is an exercise to show that $\sigma_p((T+K)^*) = \emptyset$ and that $\bigvee \{\text{Ker}(T+K-\lambda) : \lambda \in \bigcup_j \Omega_j\} = \mathcal{H}$. Let $\mathcal{N}_j = \bigvee_{i \geq j} \{\text{Ker}(T+K-\lambda) : \lambda \in \bigcup_j \Omega_j\}$ and $\mathcal{M}_\infty = \bigcap_{j < \infty} \mathcal{N}_j$. Write $\mathcal{M}_j = \mathcal{N}_j \ominus \mathcal{N}_{j+1}$ for $1 \leq j < +\infty$. Then

$$T+K = \left[\begin{array}{cccc} B_1 & & & \\ ** & B_2 & & \\ ** & * & B_3 & \\ \vdots & \vdots & & \ddots \\ & & ** & \\ & & & B_\infty \end{array} \right] \begin{array}{c} \mathcal{M}_1 \\ \mathcal{M}_2 \\ \mathcal{M}_3 \\ \vdots \\ \mathcal{M}_\infty \end{array}$$

It is clear that $B_j \in \mathcal{B}_1(\Omega_j)$ for $j < \infty$ and that $\sigma(B_j) \subset \sigma_j$. Furthermore, if X commutes with $T+K$, then X has the form

$$X = \left[\begin{array}{cccc} X_1 & & & \\ ** & X_2 & & \\ ** & * & X_3 & \\ \vdots & \vdots & & \ddots \\ & & ** & \\ & & & X_\infty \end{array} \right] \begin{array}{c} \mathcal{M}_1 \\ \mathcal{M}_2 \\ \mathcal{M}_3 \\ \vdots \\ \mathcal{M}_\infty \end{array}$$

□

3. PROOF OF MAIN THEOREM

By Theorem 2.1 and Lemma 2.13, assume that $\sigma_w(T)$ is nonconnected and

$$\min \text{ind}(T-\lambda) = \begin{cases} 0, & \lambda \in \rho_{S-F}^+(T), \\ 1, & \lambda \in \sigma(T) \cap \rho_{S-F}^{(0)}(T). \end{cases}$$

Suppose $\{\Omega_j\}_j$ are the connected components of $\sigma(T) \cap \rho_{S-F}^{(0)}(T)$. Let $\mathcal{H}_l = \bigvee \{\text{Ker}(T-\lambda)^* : \lambda \in \bigcup_j \Omega_j\}$. Then T can be written as

$$T = \begin{bmatrix} \overline{T}_1 & * \\ & \overline{T}_2 \end{bmatrix} \begin{array}{c} \mathcal{H}_l^\perp \\ \mathcal{H}_l \end{array}$$

By Lemma 2.10, choose a $\overline{K}_1 \in \mathcal{K}(\mathcal{H}_l)$ with $\|\overline{K}_1\| < \frac{\epsilon}{4}$ such that

$$\overline{T}_2 + \overline{K}_1 = \begin{bmatrix} N & * \\ & \overline{T}_3 \end{bmatrix} \begin{array}{c} \mathcal{H}_0 \\ \mathcal{H}_l \ominus \mathcal{H}_0 \end{array}$$

where N is a diagonal normal operator of uniform infinite multiplicity, $\sigma(N) = \sigma_{lre}(N) = \sigma_{lre}(\overline{T}_2)$, $\sigma(\overline{T}_3) = \sigma(\overline{T}_2)$, $\sigma_{lre}(\overline{T}_3) = \sigma_{lre}(\overline{T}_2)$ and $\text{ind}(\overline{T}_3 - \lambda) = \text{ind}(\overline{T}_2 - \lambda) = -1$ for $\lambda \in \bigcup_j \Omega_j$. Write $\mathcal{H}_1 = \mathcal{H}_l^\perp \oplus \mathcal{H}_0$. Set

$$K_1 = \begin{bmatrix} 0 & \\ & \overline{K}_1 \end{bmatrix} \begin{array}{c} \mathcal{H}_l^\perp \\ \mathcal{H}_l \end{array}$$

Then K_1 is compact and $\|K_1\| < \frac{\epsilon}{4}$. Look at $T + K_1$:

$$T + K_1 = \begin{bmatrix} \overline{T}_1 & * & * \\ & N & * \\ & & \overline{T}_3 \end{bmatrix} \begin{array}{l} \mathcal{H}_l^\perp \\ \mathcal{H}_0 \\ \mathcal{H}_l \ominus \mathcal{H}_0 \end{array} \stackrel{\text{def}}{=} \begin{bmatrix} T_1 & * \\ & \overline{T}_3 \end{bmatrix} \begin{array}{l} \mathcal{H}_1 \\ \mathcal{H}_l \ominus \mathcal{H}_0 \end{array}$$

It is clear that $\sigma(T_1) = \sigma_w(T_1) = \sigma(T)$, $\text{ind}(T_1 - \lambda) > 0$ for $\lambda \in \rho_{S-F}(T_1) \cap \sigma(T_1)$ and $\text{ind}(T_1 - \lambda) = 1$ for $\lambda \in \bigcup_j \Omega_j$. By Lemma 2.12, take $\overline{K}_2 \in \mathcal{K}(\mathcal{H}_1)$ with $\|\overline{K}_2\| < \frac{\epsilon}{8}$

such that $A = T_1 + \overline{K}_2 \in \mathcal{B}_1(\Omega_1)$. Hence A is strongly irreducible. Notice that $\mathcal{H}_l = \bigvee \{\text{Ker}(T - \lambda)^* : \lambda \in \bigcup_j \Omega_j\}$. Each clopen of $\sigma(\overline{T}_2)$ intersects with some Ω_j . So

each clopen of $\sigma(\overline{T}_3)$ contains some Ω_j . Notice that $\sigma(\overline{T}_3^*) \cap \rho_{S-F}(\overline{T}_3^*) = \rho_{S-F}^+(\overline{T}_3^*)$ and $\text{ind}(\overline{T}_3 - \lambda)^* = 1$ for $\lambda \in \bigcup_j \Omega_j$. Applying Lemma 2.14 to \overline{T}_3^* , find a compact operator \overline{K}_3 with $\|\overline{K}_3\| < \frac{\epsilon}{16}$ such that $\sigma_p(\overline{T}_3 + \overline{K}_3) = \emptyset$ and $\overline{T}_3 + \overline{K}_3$ can be written as

$$\overline{T}_3 + \overline{K}_3 = \begin{bmatrix} \begin{bmatrix} B_1 & * & * & \cdots \\ & B_2 & * & \cdots \\ & & B_3 & \\ & & & \ddots \end{bmatrix} & * \\ & B_\infty \end{bmatrix} \begin{array}{l} \mathcal{M}_1 \\ \mathcal{M}_2 \\ \mathcal{M}_3 \\ \vdots \\ \mathcal{M}_\infty \end{array}$$

where each B_j^* ($j < +\infty$) is a Cowen-Douglas operator with index 1, $\sigma(B_i) \cap \sigma(B_j) = \emptyset$ when $i \neq j$, $i, j < +\infty$, and $\bigoplus_{i=1}^k \mathcal{M}_j$ is invariant under the commutant of $\overline{T}_3 + \overline{K}_3$ for each $1 \leq k \leq +\infty$. Set

$$K_2 = \begin{bmatrix} \overline{K}_2 & \\ & \overline{K}_3 \end{bmatrix} \begin{array}{l} \mathcal{H}_1 \\ \mathcal{H}_1 \ominus \mathcal{H}_0 \end{array} \in \mathcal{K}(\mathcal{H})$$

Then $\|K_2\| < \frac{\epsilon}{8}$ and

$$\begin{aligned} T + K_1 + K_2 &= \begin{bmatrix} A & * \\ & \overline{T}_3 + \overline{K}_3 \end{bmatrix} \begin{array}{l} \mathcal{H}_1 \\ \mathcal{H}_1 \ominus \mathcal{H}_0 \end{array} \\ &= \begin{bmatrix} \begin{bmatrix} A & A_{11} & A_{12} & A_{13} & \cdots \\ & B_1 & B_{12} & B_{13} & \cdots \\ & & B_2 & B_{23} & \cdots \\ & & & B_3 & \\ & & & & \ddots \end{bmatrix} & * \\ & B_\infty \end{bmatrix} \begin{array}{l} \mathcal{H}_1 \\ \mathcal{M}_1 \\ \mathcal{M}_2 \\ \mathcal{M}_3 \\ \vdots \\ \mathcal{M}_\infty \end{array} \end{aligned}$$

Because $\sigma(B_i) \cap \sigma(B_j) = \emptyset$ for $i \neq j$ and $\sigma_{lre}(A) \cap \sigma_{lre}(B_j) = \sigma_{lre}(B_j) \neq \emptyset$ for all $j < +\infty$, by Lemma 2.11, we can inductively find $C_j \in \mathcal{K}(\mathcal{M}_j, \mathcal{H}_1)$ with $\|C_j\| < \epsilon/2^{j+4}$ such that $A_{11} + C_1 \notin \text{Ran} \tau_{A, B_1}$ and

$$\begin{bmatrix} A_{1,j+1} + C_{j+1} \\ B_{1,j+1} \\ \vdots \\ B_{j,j+1} \end{bmatrix} \notin \text{Ran} \tau_{A_j, B_{j+1}}$$

where

$$A_j = \begin{bmatrix} A & A_{11} + C_1 & \cdots & A_{1,j} + C_j \\ & B_1 & \cdots & B_{1,j} \\ & & \ddots & \vdots \\ & & & B_j \end{bmatrix} \begin{matrix} \mathcal{H}_l \\ \mathcal{M}_1 \\ \vdots \\ \mathcal{M}_j \end{matrix}$$

Write $D_j = A_{1,j} + C_j$. Define $K_3 x = \sum_{j < +\infty} C_j P_{\mathcal{M}_j} x$, where $P_{\mathcal{M}_j}$ is the projection onto \mathcal{M}_j for each $j < +\infty$. Then $K = K_1 + K_2 + K_3$ is compact and $\|K\| < \epsilon$. Moreover,

$$T + K = \begin{bmatrix} \begin{bmatrix} A & D_1 & D_2 & \cdots \\ & B_1 & B_{12} & \cdots \\ & & B_2 & \\ & & & \ddots \end{bmatrix} & * \\ \begin{bmatrix} \\ \\ \\ B_\infty \end{bmatrix} \end{bmatrix} \begin{matrix} \mathcal{H}_l \\ \mathcal{M}_1 \\ \mathcal{M}_2 \\ \vdots \\ \mathcal{M}_\infty \end{matrix}$$

Now we are going to prove that $T + K$ is strongly irreducible. Suppose that P is an idempotent operator commuting with $T + K$. Set

$$P = \begin{bmatrix} P_0 & Q_{01} \\ Q_{10} & \bar{P} \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{H}_1^\perp \end{matrix}$$

It is easy to see that $(\bar{T}_3 + \bar{K}_3)Q_{10} = Q_{10}A$. So $(\bar{T}_3 + \bar{K}_3 - \lambda)Q_{10} = Q_{10}(A - \lambda)$ for $\lambda \in \Omega_1$. Since $A \in \mathcal{B}_1(\Omega_1)$ and $\sigma_p(\bar{T}_3 + \bar{K}_3) = \emptyset$, $Q_{10} = 0$. Furthermore, \bar{P} is an idempotent operator commuting with $\bar{T}_3 + \bar{K}_3$. So \bar{P} has the form

$$\bar{P} = \begin{bmatrix} \begin{bmatrix} P_1 & P_{12} & P_{13} & \cdots \\ & P_2 & P_{23} & \cdots \\ & & P_3 & \\ & & & \ddots \end{bmatrix} & * \\ \begin{bmatrix} \\ \\ \\ P_\infty \end{bmatrix} \end{bmatrix} \begin{matrix} \mathcal{M}_1 \\ \mathcal{M}_2 \\ \mathcal{M}_3 \\ \vdots \\ \mathcal{M}_\infty \end{matrix}$$

It is clear that P_i is an idempotent operator commuting with B_i for each $1 \leq i < +\infty$, and that P_0 is idempotent and commutes with A . Since all B_j ($j < +\infty$) and A are strongly irreducible, P_i is equal to either zero or the unit operator on its acting space for each $0 \leq j < +\infty$. Set

$$P = \begin{bmatrix} \begin{bmatrix} P_0 & P_{01} & P_{02} & \cdots \\ & P_1 & P_{12} & \cdots \\ & & P_2 & \\ & & & \ddots \end{bmatrix} & * \\ \begin{bmatrix} \\ \\ \\ P_\infty \end{bmatrix} \end{bmatrix} \begin{matrix} \mathcal{H}_1 \\ \mathcal{M}_1 \\ \mathcal{M}_2 \\ \vdots \\ \mathcal{M}_\infty \end{matrix}$$

If $P_0 = 0$, then $AP_{01} + D_1P_1 = P_{01}B_1$. Since $D_1 \notin \text{Ran } \tau_{A,B_1}$, $P_1 = 0$. It follows from $P^2 = P$ that $P_{01} = 0$. Similarly, one can inductively prove that $P_j = 0$ for $j < +\infty$ and that $P_{i,j} = 0$ for $i, j < \infty$. Thus

$$\bar{P} = \begin{bmatrix} 0 & * \\ & P_\infty \end{bmatrix} \begin{matrix} \mathcal{H}_1^\perp \ominus \mathcal{M}_\infty \\ \mathcal{M}_\infty \end{matrix}$$

It is clear that $\text{Ran } \overline{P}^* \cap \text{Ker}(\overline{T}_3 + \overline{K}_3 - \lambda)^* = \{0\}$ for all $\lambda \in \bigcup_j \Omega_j$. So $\overline{P} = 0$.

Hence $P = 0$. If P_0 is the unit operator acting on \mathcal{H}_1 , then one can show that $P = I$. So $T + K$ is strongly irreducible.

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